

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER
EMU144NAT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Noscapine Derivatives, Useful as Anticancer Agents

the specification of which (check only one item below):

☐

is attached hereto

☐

was filed as United States application

Serial No. _____

on _____

and was amended

on _____

(if applicable).

☒

was filed as PCT international application

Number PCT/US98/14979 on 20 July 1998,

and was amended under PCT Article 19

on _____

(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United State code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (of PCT indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119	
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONTINUED) (Includes Reference to PCT International Applications)				ATTORNEY DOCKET NUMBER EMU144NAT	
<p>I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:</p>					
PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:					
U.S. APPLICATIONS			STATUS (Check One)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED	
60/057,037	19 August 1997 (19.08.97)			X	
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)			
<p>POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (<i>List name and registration number</i>) Charles Y. Lackey 22,707; John M. Harrington 25,592; John S. Pratt 29,476; A. Jose Cortina 29,733; James L. Ewing, IV 30,630; Charles W. Calkins 31,814; George T. Marcou 33,014; Dean W. Russell 33,452; Richard T. Peterson 35,320; Charles T. Simmons 35,359; Nora M. Tocups 35,717; Bruce D. Gray 35,799; Theodore R. Harper 35,890; Kristin L. Johnson 44,887; Geoff L. Sutcliffe 36,348; Pat Winston Kennedy 36,970; Mitchell G. Stockwell 39,389; Michael J. Turton 40,852; Yoncha L. Kundupoglu 41,130; Benjamin D. Driscoll 41,571; Wilburn L. Chesser 41,668; Alana G. Kriegsman 41,747; J. Steven Gardner 41,772; James J. Bindseil 42,326; Richard H. Lilley 42,803; Edwina Thomas Washington 43,187; Camilla Camp Williams 43,992; Carl B. Massey P44,224; R. Whitney Winston P44,432; John William Ball, Jr. P44,433.</p>					
<p>Send Correspondence to: <u>John S. Pratt, Esq.</u> <u>Kilpatrick Stockton LLP</u> <u>1100 Peachtree Street, Suite 2800</u> <u>Atlanta, GA 30309-4530</u></p>				<p>Direct Telephone Calls to: (<i>name and telephone number</i>) <u>Bruce D. Gray</u> <u>(404) 815-6218</u></p>	
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
1	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
2	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.</p>					
<p>SIGNATURE OF INVENTOR 201 <u>Harish C. Joshi</u></p>			<p>SIGNATURE OF INVENTOR 202 <u>Keqiang Ye</u></p>		
<p>DATE <u>8/28/2000</u></p>			<p>DATE</p>		

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONTINUED)
(Includes Reference to PCT International Applications)

ATTORNEY DOCKET NUMBER

EMU144NAT

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

U.S. APPLICATIONS		STATUS (Check One)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
60/057,037	19 August 1997 (19.08.97)			X

PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (*List name and registration number*) Charles Y. Lackey 22,707; John M. Harrington 25,592; John S. Pratt 29,476; A. Jose Cortina 29,733; James L. Ewing, IV 30,630; Charles W. Calkins 31,814; George T. Marcou 33,014; Dean W. Russell 33,452; Richard T. Peterson 35,320; Charles T. Simmons 35,359; Nora M. Tocups 35,717; Bruce D. Gray 35,799; Theodore R. Harper 35,890; Kristin L. Johnson 44,807; Geoff L. Sutcliffe 36,348; Pat Winston Kennedy 36,970; Mitchell G. Stockwell 39,389; Michael J. Turton 40,852; Yoncha L. Kundupoglu 41,130; Benjamin D. Driscoll 41,571; Wilburn L. Chesser 41,668; Alana G. Kriegsman 41,747; J. Steven Gardner 41,772; James J. Bindseil 42,326; Richard H. Lilley 42,803; Edwina Thomas Washington 43,187; Camilla Camp Williams 43,992; Carl B. Massey P44,224; R. Whitney Winston P44,432; John William Ball, Jr. P44,433

Send Correspondence to: John S. Pratt, Esq.
Kilpatrick Stockton LLP
1100 Peachtree Street, Suite 2800
Atlanta, GA 30309-4530

Direct Telephone Calls to: (name and telephone number)
Bruce D. Gray
(404) 815-6218

2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
1	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
2	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201

Judith Kapp

DATE

3/14/00

SIGNATURE OF INVENTOR 202

Fuqiang Liu

DATE

639632

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

09/22/2000 09:14 FAX 404 815 8555

KS

002

09/22/2000 09:14 FAX 404 815 8555

(Includes Reference to PCT International Applications)

ATTORNEY DOCUMENT NUMBER

BMU144NAT

I hereby claim benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

U.S. APPLICATIONS		STATUS (Check One)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
60/057,037	19 August 1997 (19.08.97)			X
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number) Charles Y. Lackey 22,707; John M. Harrington 25,592; John S. Pratt 29,476; A. Jose Cortina 29,733; James L. Ewing, IV 30,630; Charles W. Calkins 31,814; George T. Marcou 33,014; Dean W. Russell 33,452; Richard T. Peterson 35,320; Charles T. Simmons 35,359; Nora M. Tocups 35,717; Bruce D. Gray 35,799; Theodore R. Harper 35,890; Kristin L. Johnson 44,807; Geoff L. Sutcliffe 36,348; Pat Winston Kennedy 36,970; Mitchell G. Stockwell 39,389; Michael J. Turton 40,852; Yoncha L. Kundupogla 41,130; Benjamin D. Driscoll 41,571; Wilburn L. Chesser 41,668; Alana G. Kriegsmann 41,747; J. Steven Gardner 41,772; James J. Bladsoil 42,326; Richard H. Lilley 42,803; Edwina Thomas Washington 43,187; Camilla Camp Williams 43,992; Carl B. Massey P44,224; R. Whitney Winston P44,432; John William Ball, Jr. P44,433

Send Correspondence to: John S. Pratt, Esq.

Kilpatrick Stockton LLP
1100 Peachtree Street, Suite 2800
Atlanta, GA 30309-4530

Direct Telephone Calls to: (area code and telephone number)

Bruce D. Gray
(404) 815-6218

1	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Joshi	Harish	C.
2	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Decatur	Georgia	US
3	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		1433 Oak Grove Drive	Decatur	Georgia 30333 USA
4	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Keqiang	Ye	
5	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Atlanta	Georgia	China
6	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		2628 Northeast Expressway, Apt. N12	Atlanta	Georgia 30345 USA

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202
Harish C. Joshi	Keqiang Ye
DATE	DATE
	06/20/00

P. 09/22/2000 (Rev. 10-89)
Office

Page 2 of 3

US DEPARTMENT OF COMMERCE Patent and Trademark

639632

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(d)) – NONPROFIT ORGANIZATION

Docket Number: EMU144NAT

Applicant, Patentee, or Identifier: Harish C. Joshi, et al.
Application or Patent No.: U.S. National Phase of PCT/US98/14979
Filed or Issued: February 18, 2000
Title: Noscapine Derivatives, Useful as Anticancer Agents

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION Emory University
ADDRESS OF NONPROFIT ORGANIZATION 1380 So. Oxford Road
Atlanta, Georgia 30322

TYPE OF NONPROFIT ORGANIZATION:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I hereby state that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities and that no rights to the invention are held by any person, other than the inventor, that would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OR PERSON SIGNING: Nancy L. Wilkinson, M.P.H.
TITLE IN ORGANIZATION OF PERSON SIGNING: Assistant Vice President for Research
ADDRESS OF PERSON SIGNING: 2009 Ridgewood Drive, Atlanta, Georgia 30322

SIGNATURE:  DATE February 1, 2000

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Noscapine Derivatives, Useful as Anticancer Agents

the specification of which (check only one item below):

☐ is attached hereto

☐ was filed as United States application

Serial No. _____
on _____

and was amended

on _____ (if applicable).

☒ was filed as PCT international application

Number PCT/US98/14979 on 20 July 1998,

and was amended under PCT Article 19

on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United State code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (of PCT indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119	
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONTINUED) (Includes Reference to PCT International Applications)				ATTORNEY DOCKET NUMBER EMU144NAT	
<p>I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:</p>					
PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:					
U.S. APPLICATIONS			STATUS (Check One)		
U.S. APPLICATION NUMBER	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
60/057,037	19 August 1997 (19.08.97)				X
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)			
<p>POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. <i>(List name and registration number)</i> Charles Y. Lackey 22,707; John M. Harrington 25,592; John S. Pratt 29,476; A. Jose Cortina 29,733; James L. Ewing, IV 30,630; Charles W. Calkins 31,814; George T. Marcou 33,014; Dean W. Russell 33,452; Richard T. Peterson 35,320; Charles T. Simmons 35,359; Nora M. Tocups 35,717; Bruce D. Gray 35,799; Theodore R. Harper 35,890; Kristin L. Johnson 44,807; Geoff L. Sutcliffe 36,348; Pat Winston Kennedy 36,970; Mitchell G. Stockwell 39,389; Michael J. Turton 40,852; Yoncha L. Kundupoglu 41,130; Benjamin D. Driscoll 41,571; Wilburn L. Chesser 41,668; Alana G. Kriegsman 41,747; J. Steven Gardner 41,772; James J. Bindseil 42,326; Richard H. Lilley 42,803; Edwina Thomas Washington 43,187; Camilla Camp Williams 43,992; Carl B. Massey P44,224; R. Whitney Winston P44,432; John William Ball, Jr. P44,433</p>					
<p>Send Correspondence to: John S. Pratt, Esq. Kilpatrick Stockton LLP 1100 Peachtree Street, Suite 2800 Atlanta, GA 30309-4530</p>				<p>Direct Telephone Calls to: <i>(name and telephone number)</i> Bruce D. Gray (404) 815-6218</p>	
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
1	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
		Joshi	Harish	C.	
		Decatur	Georgia	US	
		1433 Oak Grove Drive	Decatur	Georgia 30333 USA	
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
2	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
		Keqiang	Ye		
		Atlanta	Georgia	China	
		2628 Northeast Expressway, Apt. N12	Atlanta	Georgia 30345 USA	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.</p>					
SIGNATURE OF INVENTOR 201			SIGNATURE OF INVENTOR 202		
Harish C. Joshi			Keqiang Ye		
DATE			DATE		

EMU144NAT

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

U.S. APPLICATIONS		STATUS (Check One)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
60/057,037	19 August 1997 (19.08.97)			X
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (*List name and registration number*) Charles Y. Lackey 22,707; John M. Harrington 25,592; John S. Pratt 29,476; A. Jose Cortina 29,733; James L. Ewing, IV 30,630; Charles W. Calkins 31,814; George T. Marcou 33,014; Dean W. Russell 33,452; Richard T. Peterson 35,320; Charles T. Simmons 35,359; Nora M. Tocups 35,717; Bruce D. Gray 35,799; Theodore R. Harper 35,890; Kristin L. Johnson 44,807; Geoff L. Sutcliffe 36,348; Pat Winston Kennedy 36,970; Mitchell G. Stockwell 39,389; Michael J. Turton 40,852; Yoncha L. Kundupoglu 41,130; Benjamin D. Driscoll 41,571; Wilburn L. Chesser 41,668; Alana G. Kriegsman 41,747; J. Steven Gardner 41,772; James J. Bindseil 42,326; Richard H. Lilley 42,803; Edwina Thomas Washington 43,187; Camilla Camp Williams 43,992; Carl B. Massey P44,224; R. Whitney Winston P44,432; John William Ball, Jr. P44,433

Send Correspondence to: John S. Pratt, Esq.

Kilpatrick Stockton LLP
1100 Peachtree Street, Suite 2800
Atlanta, GA 30309-4530

Direct Telephone Calls to: (name and telephone number)

Bruce D. Gray
(404) 815-6218

2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
1	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
2	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201

Judith Kapp

DATE

SIGNATURE OF INVENTOR 202

Fuqiang Liu

DATE

639632

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER
EMU144NAT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Noscapine Derivatives, Useful as Anticancer Agents

the specification of which (check only one item below):

☐

is attached hereto

☐

was filed as United States application

Serial No. _____

on _____

and was amended

on _____

(if applicable).

☒

was filed as PCT international application

Number PCT/US98/14979 on 20 July 1998,

and was amended under PCT Article 19

on _____

(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United State code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (of PCT indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119	
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONTINUED) (Includes Reference to PCT International Applications)				ATTORNEY DOCKET NUMBER EMU144NAT	
<p>I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:</p>					
PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:					
U.S. APPLICATIONS				STATUS (Check One)	
U.S. APPLICATION NUMBER	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
60/057,037	19 August 1997 (19.08.97)				X
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)			
<p>POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (<i>List name and registration number</i>) Charles Y. Lackey 22,707; John M. Harrington 25,592; John S. Pratt 29,476; A. Jose Cortina 29,733; James L. Ewing, IV 30,630; Charles W. Calkins 31,814; George T. Marcou 33,014; Dean W. Russell 33,452; Richard T. Peterson 35,320; Charles T. Simmons 35,359; Nora M. Tocups 35,717; Bruce D. Gray 35,799; Theodore R. Harper 35,890; Kristin L. Johnson 44,807; Geoff L. Sutcliffe 36,348; Pat Winston Kennedy 36,970; Mitchell G. Stockwell 39,389; Michael J. Turton 40,852; Yoncha L. Kundupoglu 41,130; Benjamin D. Driscoll 41,571; Wilburn L. Chesser 41,668; Alana G. Kriegsman 41,747; J. Steven Gardner 41,772; James J. Bindseil 42,326; Richard H. Lilley 42,803; Edwina Thomas Washington 43,187; Camilla Camp Williams 43,992; Carl B. Massey P44,224; R. Whitney Winston P44,432; John William Ball, Jr. P44,433</p>					
Send Correspondence to: John S. Pratt, Esq. Kilpatrick Stockton LLP 1100 Peachtree Street, Suite 2800 Atlanta, GA 30309-4530				Direct Telephone Calls to: (<i>name and telephone number</i>) Bruce D. Gray (404) 815-6218	
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME		SECOND GIVEN NAME
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY		COUNTRY OF CITIZENSHIP
1	POST OFFICE ADDRESS	POST OFFICE ADDRESS		CITY	STATE & ZIP CODE/COUNTRY
		1433 Oak Grove Drive		Decatur	Georgia 30333 USA
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME		SECOND GIVEN NAME
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY		COUNTRY OF CITIZENSHIP
2	POST OFFICE ADDRESS	POST OFFICE ADDRESS		CITY	STATE & ZIP CODE/COUNTRY
		Keqiang		Ye	C.
		Atlanta	Georgia		US
		2628 Northeast Expressway, Apt. N12		Atlanta	China
		Georgia		Georgia	Georgia 30345 USA
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.</p>					
SIGNATURE OF INVENTOR 201			SIGNATURE OF INVENTOR 202		
Harish C. Joshi			Keqiang Ye		
DATE			DATE		

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONTINUED) (Includes Reference to PCT International Applications)				ATTORNEY DOCKET NUMBER EMU144NAT	
I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:					
PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:					
U.S. APPLICATIONS			STATUS (Check One)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED	
60/057,037	19 August 1997 (19.08.97)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. <i>(List name and registration number)</i> Charles Y. Lackey 22,707; John M. Harrington 25,592; John S. Pratt 29,476; A. Jose Cortina 29,733; James L. Ewing, IV 30,630; Charles W. Calkins 31,814; George T. Marcou 33,014; Dean W. Russell 33,452; Richard T. Peterson 35,320; Charles T. Simmons 35,359; Nora M. Tocups 35,717; Bruce D. Gray 35,799; Theodore R. Harper 35,890; Kristin L. Johnson 44,807; Geoff L. Sutcliffe 36,348; Pat Winston Kennedy 36,970; Mitchell G. Stockwell 39,389; Michael J. Turton 40,852; Yoncha L. Kundupoglu 41,130; Benjamin D. Driscoll 41,571; Wilburn L. Chesser 41,668; Alana G. Kriegsman 41,747; J. Steven Gardner 41,772; James J. Bindseil 42,326; Richard H. Lilley 42,803; Edwina Thomas Washington 43,187; Camilla Camp Williams 43,992; Carl B. Massey P44,224; R. Whitney Winston P44,432; John William Ball, Jr. P44,433					
Send Correspondence to: John S. Pratt, Esq. Kilpatrick Stockton LLP 1100 Peachtree Street, Suite 2800 Atlanta, GA 30309-4530				Direct Telephone Calls to: <i>(name and telephone number)</i> Bruce D. Gray (404) 815-6218	
2 0 1	FULL NAME OF INVENTOR Kapp	FAMILY NAME Kapp	FIRST GIVEN NAME Judith	SECOND GIVEN NAME	
0	RESIDENCE & CITIZENSHIP Atlanta	CITY Atlanta	STATE OR FOREIGN COUNTRY Georgia	COUNTRY OF CITIZENSHIP US	
1	POST OFFICE ADDRESS 411 Chelsea Circle	POST OFFICE ADDRESS 411 Chelsea Circle	CITY Atlanta	STATE & ZIP CODE/COUNTRY Georgia 30307 USA	
2 0 2	FULL NAME OF INVENTOR Liu	FAMILY NAME Liu	FIRST GIVEN NAME Fuqiang	SECOND GIVEN NAME	
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
2	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.					
SIGNATURE OF INVENTOR 201 Judith Kapp			SIGNATURE OF INVENTOR 202 Fuqiang Liu		
DATE			DATE		

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(d)) – NONPROFIT ORGANIZATION**

Docket Number: **EMU144NAT**

Applicant, Patentee, or Identifier: Harish C. Joshi, et al.
Application or Patent No.: U.S. National Phase of PCT/US98/14979
Filed or Issued: February 18, 2000
Title: Noscapine Derivatives, Useful as Anticancer Agents

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION Emory University
ADDRESS OF NONPROFIT ORGANIZATION 1380 So. Oxford Road
Atlanta, Georgia 30322

TYPE OF NONPROFIT ORGANIZATION:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I hereby state that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities and that no rights to the invention are held by any person, other than the inventor, that would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OR PERSON SIGNING: Nancy L. Wilkinson, M.P.H.
TITLE IN ORGANIZATION OF PERSON SIGNING: Assistant Vice President for Research
ADDRESS OF PERSON SIGNING: 2009 Ridgewood Drive, Atlanta, Georgia 30322

SIGNATURE:  DATE February 1, 2000